

How Laserfiche® Works for BROKER-DEALERS

Promote Straight-Through Processing

- Eliminate the time lags and redundancies of manual transaction processing.
- Reduce manual data entry by automatically populating electronic forms with customer data from CRM applications.
- Streamline business processes by automatically routing documents and providing e-mail notifications of pending tasks.
- Accelerate trade settlements with electronic transaction approval and centralized exception processing.

Provide Supporting Business Infrastructure for Reps

- Extend the operational benefits of straight-through processing through your entire network.
- Enable financial advisors to focus their time on revenue-generating activities.
- Deploy Web-based solutions to get new branch offices up and running quickly.
- Provide field reps with secure, 24-7 access to critical client information.
- Simplify business continuity and disaster recovery planning.

Simplify Compliance While Ensuring Accountability

- Protect business records with comprehensive security features.
- Publish records to unalterable media for compliance with SEC recordkeeping rules.
- Set records in time and protect them from unauthorized access.
- Monitor all user activity to provide transparency and accountability throughout your entire firm.